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# Point defect dynamics in sodium aluminum hydrides—A combined quasielastic neutron scattering and density functional theory study

Q. Shi<sup>a,b</sup>, J. Voss<sup>a,c</sup>, H.S. Jacobsen<sup>a,b</sup>, K. Lefmann<sup>a</sup>, M. Zamponi<sup>d</sup>, T. Vegge<sup>a,\*</sup>

<sup>a</sup> Materials Research Department, Risø National Laboratory, Technical University of Denmark, DK-4000 Roskilde, Denmark

<sup>c</sup> Centre for Atomic-scale Materials Design and Department of Physics, Technical University of Denmark, DK-2800 Kgs. Lyngby, Denmark

<sup>d</sup> Institut für Festkörperforschung, Forschungszentrum Jülich, D-52425 Jülich, Germany

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#### Abstract

Understanding the catalytic role of titanium-based additives on the reversible hydrogenation of complex metal hydrides is an essential step towards developing hydrogen storage materials for the transport sector. Improved bulk diffusion of hydrogen is one of the proposed catalytic effects, and here we study hydrogen dynamics in undoped and TiCl<sub>3</sub>-doped samples of NaAlH<sub>4</sub> and Na<sub>3</sub>AlH<sub>6</sub> using a combination of density functional theory calculations and quasielastic neutron scattering. Hydrogen dynamics is found to be limited and mediated by hydrogen vacancies in both alanate phases, requiring an activation in excess of 0.3 eV. Even at 390 K, less than 1% of the hydrogen in NaAlH<sub>4</sub> performs long range diffusion, and only localized hydrogen dynamics is observed in Na<sub>3</sub>AlH<sub>6</sub>. The effect of the TiCl<sub>3</sub> dopant on hydrogen bulk diffusion is found to be negligible.

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#### 1. Introduction

Titanium based dopants have been found to catalyze the decomposition and regeneration of NaAlH<sub>4</sub> [1], but the physical explanation has remained elusive. A number of different models have been proposed to describe the catalytic effect of titanium [2,3], one suggesting improved hydrogen diffusion from bulk substitution of titanium for aluminum or sodium [4].

Hydrogen dynamics between interstitial sites in Laves phase materials [5] can be investigated using quasielastic neutron scattering (QENS), but to describe NaAlH<sub>4</sub> and Na<sub>3</sub>AlH<sub>6</sub>, with and without a TiCl<sub>3</sub> precursor, density functional theory calculations (DFT) are also needed.

We find hydrogen diffusion to be mediated by hydrogen vacancies and to require significant thermal activation. Furthermore, significantly improved hydrogen diffusion by bulk substitution of Ti at Na or Al-sites is not observed.

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### 2. Calculational setup

The electronic structure is calculated using density functional theory [6] in the DACAPO plane wave basis set implementation [7]. The ion cores are described by ultrasoft pseudopotentials [8], and the exchange and correlation effects are described by the PW91 functional [9]. The Kohn-Sham wavefunctions are expanded in a plane wave basis set with a cutoff energy of 25 Ry (for the density grid a cutoff of 45 Ry is used). The wave functions are sampled on a *k*-point mesh of spacing  $\sim 0.3 \text{ Å}^{-1}$  in all three directions.

The optimum crystal structure at zero temperature is obtained by iterative relaxation of unit cell parameters and atomic coordinates. For the calculation of formation and activation energies, we use computational  $(2 \times 2 \times 1)$  supercells for the NaAlH<sub>4</sub> and Na<sub>3</sub>AlH<sub>6</sub> systems. Vacancies are created by removing an atom from the supercell and re-relaxing the atomic coordinates; two such neighboring vacancy configurations are then considered as initial and final states of a vacancy mediated diffusion process.

The rate  $\tau^{-1}$  of a thermally activated process decreases exponentially with the ratio of the activation energy  $E_{act}$  to tem-

<sup>&</sup>lt;sup>b</sup> Niels Bohr Institute, University of Copenhagen, DK-2100 Copenhagen Ø, Denmark

<sup>\*</sup> Corresponding author. Tel.: +45 4677 5818; fax: +45 4677 5758. *E-mail address:* tejs.vegge@risoe.dk (T. Vegge).

perature  $k_{\rm B}T$ . Within harmonic transition state theory (hTST) [10], the pre-exponential factor depends only on the eigenfrequencies of the initial and transition states; hTST has previously been shown to be able to describe complex systems and elevated temperatures [11,12]. We use the nudged elastic band (NEB) [13] path technique to accurately locate the transition states for the hydrogen dynamics.

## 3. Experimental methods and diffusion models

Four different samples were prepared and analyzed. NaAlH<sub>4</sub> (Sigma–Aldrich, 90%) and the catalyst precursor TiCl<sub>3</sub> (Alfa Aesar, TiCl<sub>3</sub>· (1/3)AlCl<sub>3</sub>, purity 76–78% TiCl<sub>3</sub>) were used as received in powder form. The undoped Na<sub>3</sub>AlH<sub>6</sub> sample was synthesized by energetic ball-milling of stoichiometric mixtures of NaH (Sigma–Aldrich, 95%) and NaAlH<sub>4</sub>, as proposed by Huot et al. [14], for 5 h. The doped Na<sub>3</sub>AlH<sub>6</sub> sample was prepared by 4.5 h ball-milling of the mixture and a subsequent half hour ball-milling with 4 mol% TiCl<sub>3</sub> additive. To eliminate potential crystallite size effects [15], the undoped and doped NaAlH<sub>4</sub> samples were ball-milled in the same way as Na<sub>3</sub>AlH<sub>6</sub>.

All materials handling was performed within an argon-filled glove box, and all samples were characterized with X-ray powder diffraction, see Refs. [16,17].

The QENS experiments were performed on the highresolution backscattering spectrometer (BSS) located at the Forschungszentrum Jülich. The samples were loaded in flat  $0.1 \text{ mm} \times 30.0 \text{ mm} \times 40.0 \text{ mm}$  Al containers and oriented close to  $45^{\circ}$  with respect to the incident beam. The spectra were recorded by ten detectors which correspond to a range of scattering vectors of  $q = 0.16 - 1.87 \text{ Å}^{-1}$ . The measured neutron scattering intensity in the experiments can be expressed as

$$S_{\text{inc}}^{\text{tot}}(q,\omega) = R(q,\omega) \otimes (B_0\delta(\omega) + B_1L_0(\omega,\Gamma)) + C_{\text{back}}, \quad (1)$$

in which  $B_0\delta(\omega)$  is the elastic peak,  $B_1L_0(\omega, \Gamma)$  the quasielastic lorentzian, and  $C_{\text{back}}$  is the constant background term. The resolution function  $R(q, \omega)$  is determined from the measured QENS spectra of each sample at low temperature (T = 30 K) [16].

The finite steps of diffusing atoms in lattices are taken into account by the Chudley-Elliott (CE) model [18]. For long range diffusion of atoms, the quasielastic line broadening,  $\Gamma$ , is given for large *q* by

$$\Gamma(q) = \frac{6D}{L^2} \left( 1 - \frac{\sin qL}{qL} \right),\tag{2}$$

with the hydrogen jump length *L* and a hydrogen diffusion constant  $D = L^2/(6\tau)$ .

The CE model assumes a random-walk of the diffusing species [18]. For the vacancy mediated diffusion observed here, the motion of the vacancy is a random walk, while the involved atomic jumps are correlated. In a zero-order approximation, i.e. one atomic jump per vacancy diffusion step, the only effect is a rescaling of  $\tau$  [19], which will cause negligible errors in the estimated activation energies [17].

Localized hydrogen dynamics causes *q*-independent quasielastic broadening for large scattering vectors [20,21], yet



Fig. 1. The calculated minimum energy diffusion path for localized hydrogen motion in undoped NaAlH<sub>4</sub>(a) (representing colors: sodium: blue; aluminum: gray; hydrogen: white) and the calculated activation energies for localized H dynamics and long range H diffusion in NaAlH<sub>4</sub> and Na<sub>3</sub>AlH<sub>6</sub>(b). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of the article.)

lower diffusion rates may result in a q-dependent broadening at lower q (see Appendix A).

In addition to fitting the QENS data to the CE model (Eq. 2), we estimate the volume accessible to the diffusing hydrogen (vacancy) from the ratio of elastic to total scattering intensity, the so-called elastic incoherent structure factor (EISF) [22]. The EISF is proportional to the absolute square of the Fourier transform of the accessible volume [23]. The diffusing species are constricted to two perpendicular planes corresponding to the four possible jumps of a vacancy in a  $(AIH_5)^{2-}$  complex (see Fig. 1 (a)), assuming a radially symmetric probability density in the planes. Approximating the powder average by a three-point integration over the polar angle  $\theta$  with vanishing  $\sin(\theta)$  at the end points, the normalized EISF  $A_0$  can be written as

$$A_0(q) = \frac{1}{4} \left| \int_0^\infty \mathrm{d}r \rho(r) r[j_0(qr) + 1] \right|^2 \tag{3}$$

 $j_0$  is the Bessel function of order zero. We estimate the radial probability density  $\rho(r)$  by a least squares fit of the experimental data for the EISF to Eq. (3).

#### 4. Results from neutron scattering

The samples were subjected to elastic temperature scans to identify potential transitions temperatures, where scattering intensity is transferred from the elastic to the inelastic regions.

For doped NaAlH<sub>4</sub>, no drop in elastic scattering intensity – except for the Debye-Waller factor – is seen for temperatures up to 315 K (Fig. 2); a similar scan for undoped NaAlH<sub>4</sub> up to 412 K indicated no significant hydrogen dynamics below  $\sim$  350 K [16].

For doped Na<sub>3</sub>AlH<sub>6</sub>, a small and gradual transfer is observed at temperatures above  $\sim 250$  K and similarly in a  $\leq 350$  K scan for undoped Na<sub>3</sub>AlH<sub>6</sub> [17].

Quasielastic scans were performed on NaAlH<sub>4</sub> at 30, 315, 390 K and 30, 315 K for doped NaAlH<sub>4</sub> [16]. For Na<sub>3</sub>AlH<sub>6</sub>, the scans were performed at 30, 150, 250, 350, 390 K for the undoped sample and 30, 250, 300, 350 K for the doped sample (see Ref. [17]). Due to the high equilibrium pressure of Ti-doped samples [24], we were not able to go to higher temperatures.

The QENS data for NaAlH<sub>4</sub> revealed that neither the doped nor the undoped samples displayed any quasielastic broadening at temperatures  $\leq 315$  K. In fact, only approx. half a percent of the hydrogen was mobile in the undoped sample at 390 K.

For Na<sub>3</sub>AlH<sub>6</sub>, no quasielastic broadening was observed below 350 K, and even at this temperature only the doped sample displayed a significant amount of mobile hydrogen (2%). At 390 K, the undoped Na<sub>3</sub>AlH<sub>6</sub> sample did display significant broadening (13% mobile hydrogen) and a clear CE-type (Eq. 2) q-dependence, see Ref. [17].

Inverse jump rates and jump lengths for the mobile hydrogen atoms (see Fig. 1 and Table 1) were obtained by fitting QENS data to the CE model (2) using prefactors from the DFT calculations, since reliable QENS data was only available at one temperature for each sample.

A least squares fit of the EISF (see Fig. 3) to Eq. (3) for undoped and doped  $Na_3AlH_6$  yields jump lengths around 2.8 Å (see Fig. 4), in good agreement with the Chudley-Elliott fit and



Fig. 2. Elastic temperature scan for NaAlH<sub>4</sub> doped with 4 mol% TiCl<sub>3</sub>.



Fig. 3. Elastic incoherent structure factor for NaAlH<sub>4</sub> and Na<sub>3</sub>AlH<sub>6</sub>.

the DFT results for localized hydrogen dynamics [17]. Large peaks at r = 0 account for immobile hydrogen. In order to increase the resolution of  $\rho(r)$ , the experimental values for the EISF have been interpolated with splines. The EISF for undoped NaAlH<sub>4</sub> at 390 K (see Fig. 3) does not display a *q*-dependence within the given experimental resolution, suggesting a non-localized process.

Table 1

The calculational results for Local dynamics and long range Diffusion are labeled  $DFT_L$  and  $DFT_D$ , respectively. The QENS values rely on the DFT prefactors to determine  $E_{act}$ 

	NaAlH <sub>4</sub>				Na <sub>3</sub> AlH <sub>6</sub>				
	$\overline{T(\mathbf{K})}$	$\tau^{-1}(T)$ (GHz)	L <sub>jump</sub> (Å)	$E_{\rm act}~({\rm eV})$	$\overline{T(\mathbf{K})}$	$\tau^{-1}(T)$ (GHz)	L <sub>jump</sub> (Å)	$E_{\rm act}  ({\rm eV})$	
Undoped sam	ples								
QENS	390	0.4	~2.6	0.39	390	0.4	2.80	0.37	
DFTL		0.09	2.55	0.44		0.1	2.50	0.41	
DFTD		5	2.78	≥0.31		$9 \times 10^{-6}$	3.08	$\geq 0.75$	
Titanium dop	ed samples								
QENS	315	<0.25 <sup>a</sup>			350	0.8	2.8	0.31	
DFTL		No well-defined path; H trapped by Ti							
DFTD			H trapped by Ti	ī		0.1	2.80	≥0.36	

<sup>a</sup> Derived from the instrumental resolution.



Fig. 4. Radial probability densities for diffusing species in undoped and doped Na<sub>3</sub>AlH<sub>6</sub> (*inset*: Gaussian fit to first peak at  $r = 2.81 \pm 0.14$  Å).

#### 5. Calculations results

In order to clearly identify the dynamic events observed in the QENS data, we have calculated the prefactors and activation energies for long range H diffusion and localized H dynamics using DFT. We find hydrogen diffusion to be vacancy mediated due to high formation energies of interstitials. For undoped NaAlH<sub>4</sub>, the formation energy for a hydrogen vacancy is 1.61 eV while the cost for an interstitial is 2.40 eV (for Na<sub>3</sub>AlH<sub>6</sub> the energies are 1.54 and 2.42 eV, respectively [17]).

In undoped NaAlH<sub>4</sub>, diffusion from an (AlH<sub>4</sub>)<sup>-</sup> tetrahedron to a faulted AlH<sub>3</sub> complex is found to have the lowest activation energy of  $E_{act}$ =0.31 eV (see Fig. 1b). The barrier for localized motion (see Fig. 1a) in the same AlH<sub>3</sub> complex is 0.44 eV. These findings agree with the 0.39 eV obtained from the QENS data (Table 1) and the EISF results.

In undoped Na<sub>3</sub>AlH<sub>6</sub>, long range H diffusion is limited ( $E_{act} \ge 0.75 \text{ eV}$ ), while the barrier for localized dynamics, where the vacancy remains bound to the same Al-atom is significantly lower: 0.41 eV (see Fig. 1b). The QENS barrier is found to be 0.37 eV, in clear support of the localized dynamics observed in the EISF.

To calculate the potential effect of bulk substituted titanium on the hydrogen dynamics, the Al-sites were used since they are energetically preferred over Na-sites for both NaAlH<sub>4</sub> [25] and Na<sub>3</sub>AlH<sub>6</sub> [17]. For an insignificant fraction of the hydrogen atoms in doped Na<sub>3</sub>AlH<sub>6</sub> (< 1% for doping with 4 mol% TiCl<sub>3</sub>), the activation energy for long range diffusion could be lowered to 0.36 eV (see Table 1). For doped NaAlH<sub>4</sub>, H is trapped by Ti for Ti–H distances up to at least  $\sim$ 7 Å. Neither case would yield significantly improved hydrogen dynamics.

#### 6. Discussion

The barrier for long range hydrogen diffusion in NaAlH<sub>4</sub> was found to be lower than the barrier for localized hydrogen dynamics, whereas the opposite is observed for Na<sub>3</sub>AlH<sub>6</sub>, effectively excluding long range diffusion in this alanate.

Excellent agreement between the QENS and DFT data shows that a limited amount of hydrogen diffusion is observed in NaAlH<sub>4</sub>, whereas only localized hydrogen dynamics is found in Na<sub>3</sub>AlH<sub>6</sub>. In both cases, high temperatures are needed and the addition of a TiCl<sub>3</sub> catalyst was found to have a limited effect on the bulk hydrogen dynamics.

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# Appendix A. Quasielastic broadening in the case of low diffusion rates

In Singwi and Sjölander's model for diffusive motion [20], the diffusing species oscillate around their equilibrium position for a mean time  $\tau_{osc}$  and then diffuse continuously for a mean time  $\tau_{\rm diff}$ . The effective diffusion constant is  $D \approx L^2/(6(\tau_{\rm diff} + \tau_{\rm osc}))$ (*L* is the jump length). For  $\tau_{diff} \gg \tau_{osc}$ , the broadening of the differential scattering cross-section is dominated by continuous diffusion, displaying a  $q^2$ -dependence [20]. For  $\tau_{\text{diff}} \ll \tau_{\text{osc}}$ , the shape of the differential scattering cross-section is a Lorentzian, the width of which becomes q-independent for  $q^2 D \tau_{\rm osc} \gg 1$ . We assume this inequality to be fulfilled, but we do not restrict  $\tau_{diff}$ to be much smaller than  $\tau_{osc}$ . With inverse diffusion rates of the order of 1 ns for localized events in Na3AlH6 and broadenings of the order of 1 µeV, we have  $q^2 D \tau_{\rm osc} \gg 1 \sim \omega^2 \tau_{\rm diff}^2$ . Using Eqs. (15a), (15b) and (17) from Ref. [20], we obtain the following simplified expression for half-width at half maximum of the differential scattering cross-section:

$$\Delta \omega = \frac{q^2 D(\tau_{\rm diff} + \tau_{\rm osc})}{\sqrt{\tau_{\rm osc}^2 q^4 D^2 (\tau_{\rm diff} + \tau_{\rm osc})^2 + 2\tau_{\rm diff} \tau_{\rm osc}}}.$$
 (A.1)

In order to approach the asymptotic limit  $\tau_{\rm osc}^{-1}$  of (A.1), the condition  $q^2 L^2 \gg 6\sqrt{2\tau_{\rm diff}/\tau_{\rm osc}}$  must be fulfilled. A *q*-dependent broadening for larger wave vectors could therefore be explained by lower diffusion rates  $\tau_{\rm diff}^{-1}$ .

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